Gocket No.

277605US26YA PCT

IN THE UNITED STATES PATEN TRADEMARK OFFICE

OCT 11 2006

IN RE APPLICATION OF:

Gary ESCHER, et al.

SERIAL NO:

FOR:

10/550,215

FILED:

September 22, 2005

GAU: 2891

EXAMINER: Victor V. YEVSIKOV

A BARRIER LAYER FOR A PROCESSING ELEMENT AND A METHOD OF FORMING THE SAME

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references. Applicants also wish to make of record the attached Korean Office Actions for Korean Application Serial Nos.: KR 10-2000-0074163 (with English translation), KR 10-2005-7005442 (with English translation), KR 10-2005-7005449 (English translation only) and KR 10-2005-7005450 (English translation only), citing certain references listed on the attached form PTO-1449
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

## **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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LIST OF	REFEF	RENCES CITED BY API		Gary ESCHER, et al.					
		OCT 11	2006)	FILING DATE		GROUP			
EVAMINED DOCUMENT				September 22, 2005		2891			
		PADE TRADE	MAST	U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB FILING DATE IF APPROPRIATE			
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	AB	6,120,955	09-19-2000	Tokutake et al.			•		
	AC	6,444,083	09-03-2002	Steger et al.					
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	AP	KR1998-063542	10-07-1998	Republic of Korea (corresponding to USP 6,120,640 previously filed)					
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	AW	139-146		-					
	AX								
	AY								
	AZ				Additional References sheet(s) attached				
Examiner		J			Date Co	Date Considered			
*Examiner: In	nitial if	reference is considered ot considered. Include o	, whether or no	ot citation is in conformance with MPEP 6 m with next communication to applicant.	09; Draw I	ine through	citation	if not in	